

Growing and Destroying Classes of Plane Trees

Benjamin Hackl

joint work with *Helmut Prodinger*



June 23, 2017



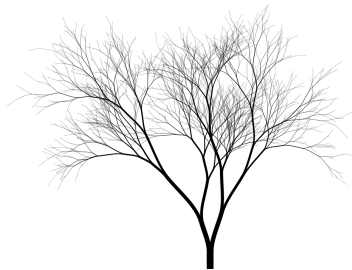
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(Rooted) Plane trees

Characterization:

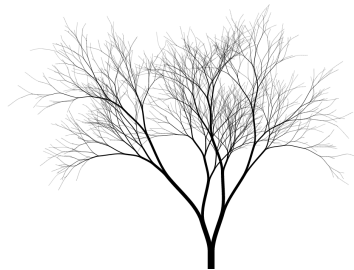
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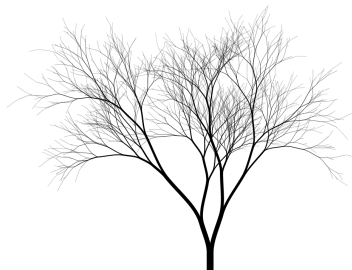
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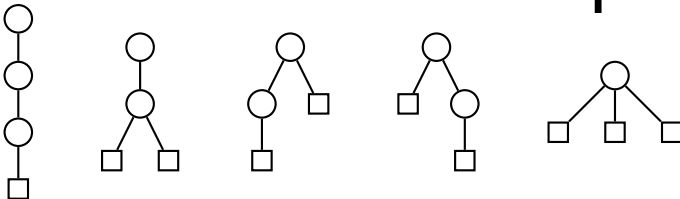
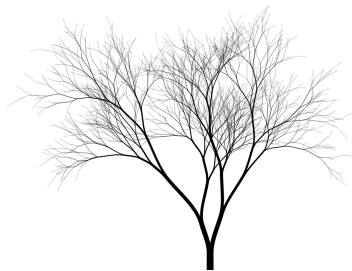
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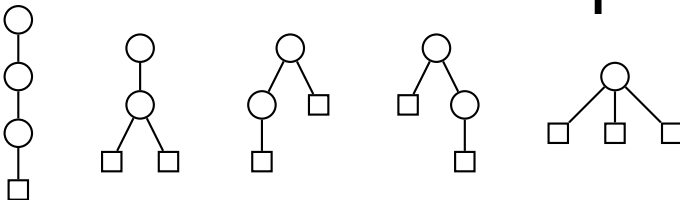
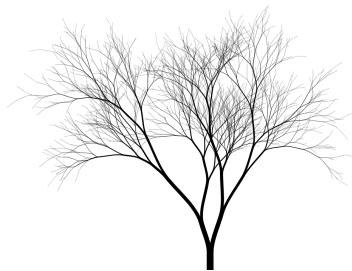
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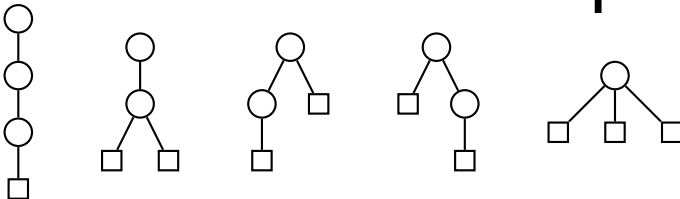
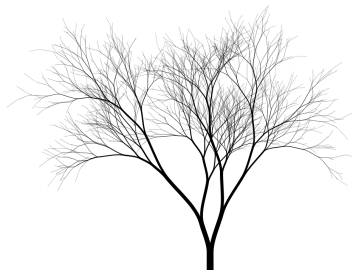


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- ▶ $C_n = \frac{1}{n+1} \binom{2n}{n}$ plane trees of size $n + 1$
- ▶ combinatorial class \mathcal{T} , g.f. $T(z) = \frac{1 - \sqrt{1 - 4z}}{2}$

Growing plane trees

- ▶ How can we grow trees?

Growing Trimming plane trees

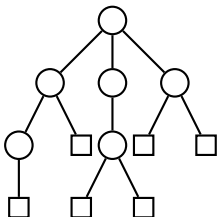
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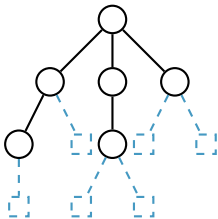
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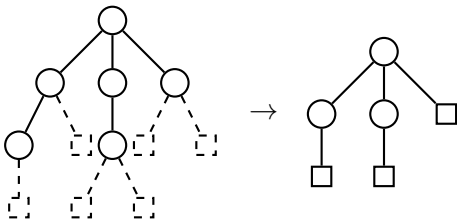
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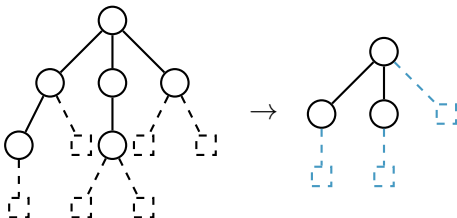
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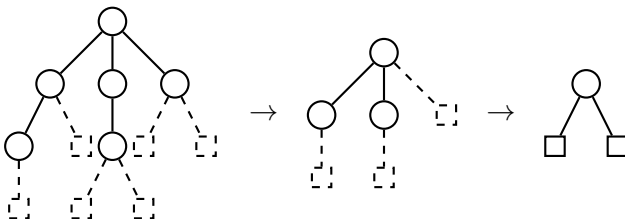
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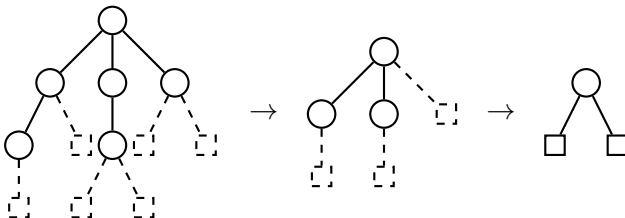
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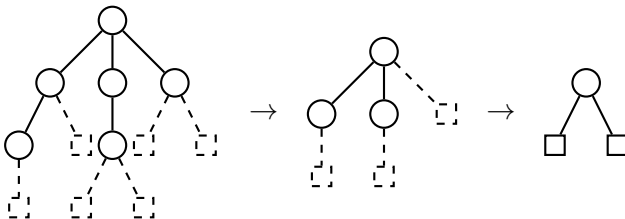
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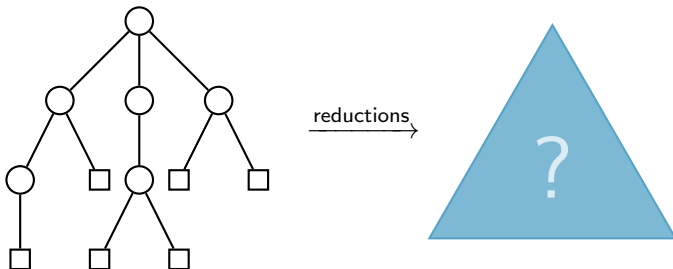
- ▶ Growing trees:
 - ▶ **grow new leaves** out of current leaves and inner nodes

“What?” and “How?”

- ▶ **Aim:** analysis of tree structure under iterated reduction

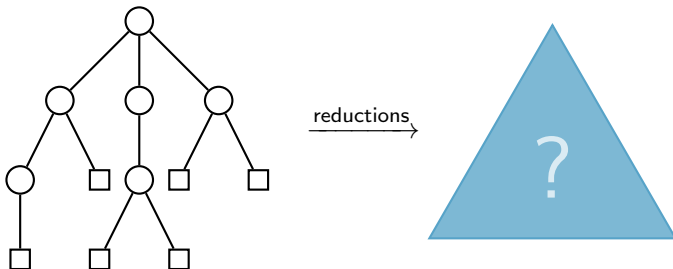
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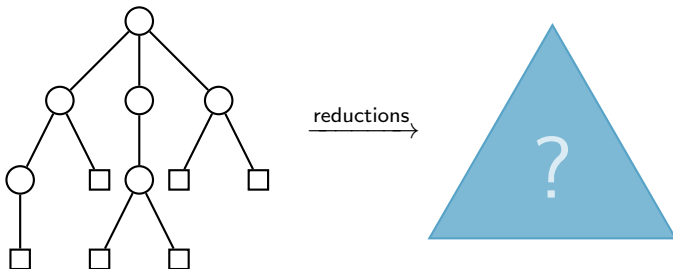
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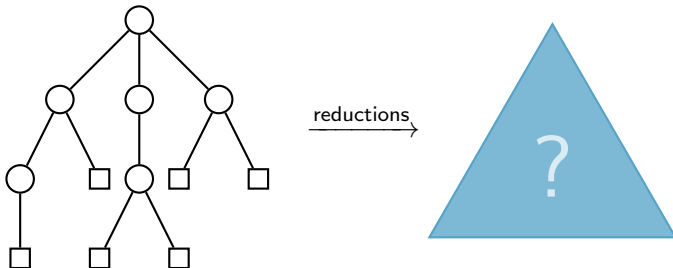
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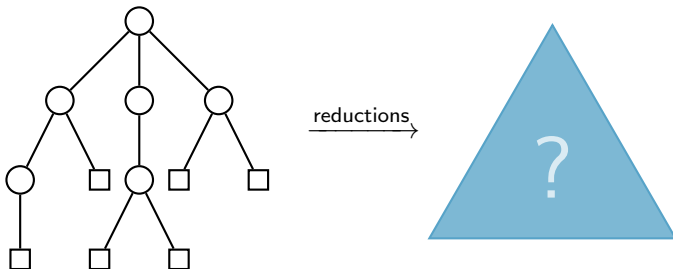
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- ▶ Algorithmic description
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- ▶ Coefficient extraction; Parameter distribution
- ▶ Parameters: **Age** and **Ancestor size**

Summary: Age

Definition

- ▶ $\tau \dots$ *some plane tree*

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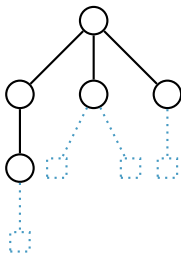
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\rightsquigarrow height (Knuth,
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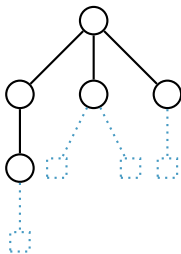
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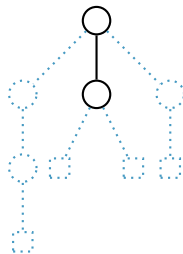
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Paths

\rightsquigarrow Pruning number (Zeilberger)
 $\mathbb{E} \sim \log_4 n$



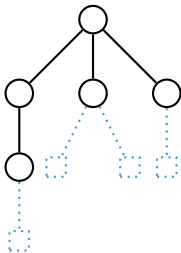
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$$\mathbb{E} \sim \frac{n}{r+1}$$

$$\mathbb{V} \sim \frac{r(r+2)}{6(r+1)^2} n$$

limit law: \checkmark



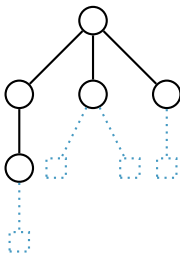
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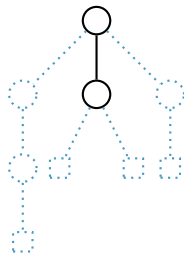


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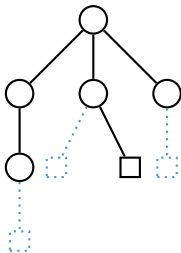


Old leaves

$$\mathbb{E} \sim (2 - B_r(1/4))n$$

$$\mathbb{V} = \Theta(n)$$

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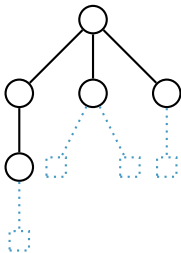
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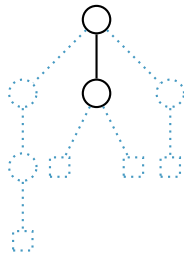


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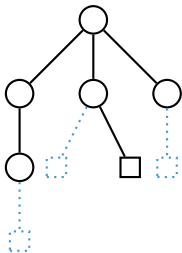


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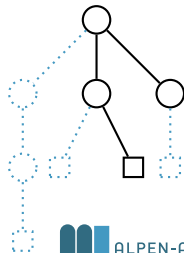


Old paths

$$\mathbb{E} \sim \frac{2n}{r+2}$$

$$\mathbb{V} \sim \frac{2r(r+1)}{3(r+2)^2} n$$

limit law: ???



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Stanley, Catalan bijection #26

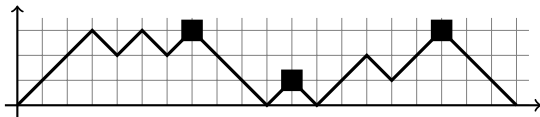
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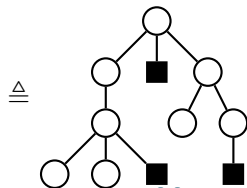
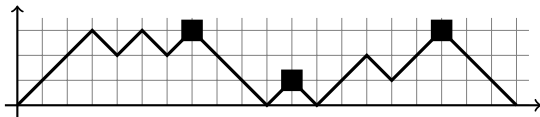


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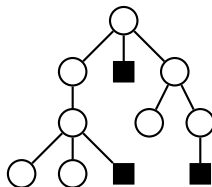
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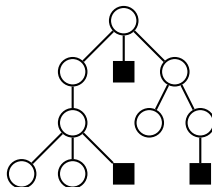
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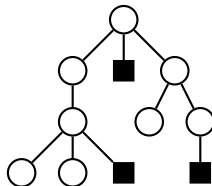
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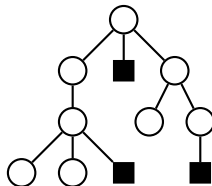
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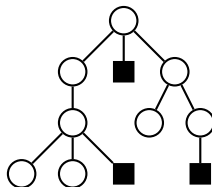
Proposition

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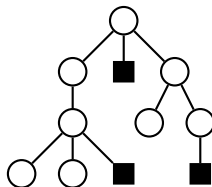
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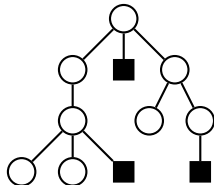
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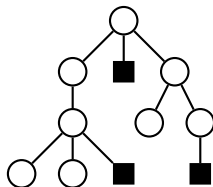


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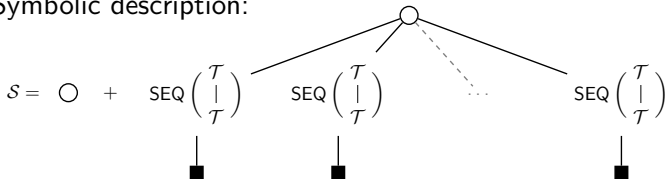
and for $n \geq 2$ there are C_{n-2} Catalan–Stanley trees with n nodes.

Catalan–Stanley Trees (Proof)

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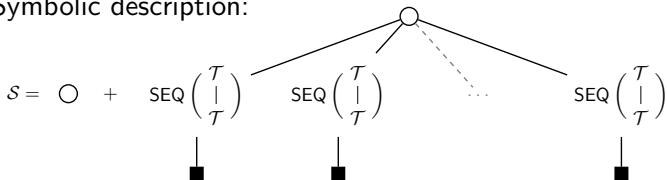
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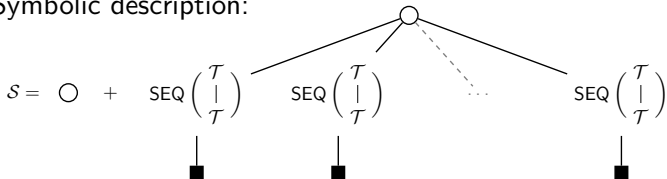
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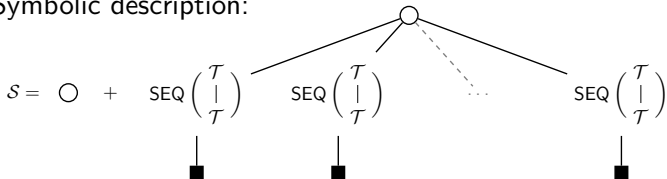


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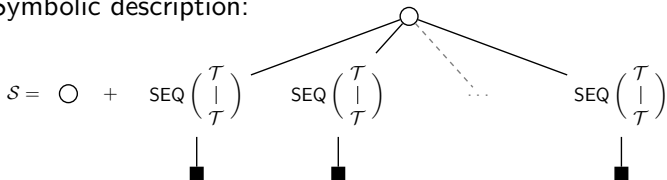
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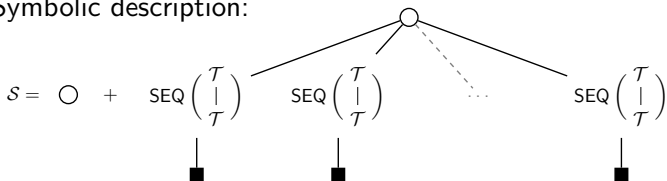
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- ▶ $T(z) = \sum_{n \geq 1} C_{n-1} z^n \Rightarrow S(z, z) = z + \sum_{n \geq 2} C_{n-2} z^n$

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- ▶ **Strategy:** insert a sequence of two plane trees before every ■
- ▶ Optionally: add branches to root

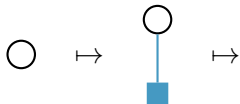
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- ▶ **Strategy:** insert a sequence of two plane trees before every ■
- ▶ Optionally: add branches to root



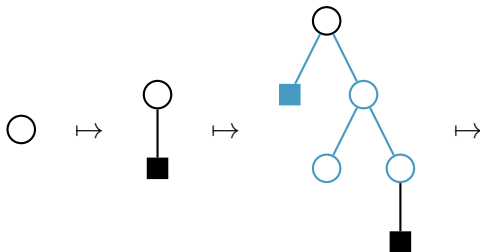
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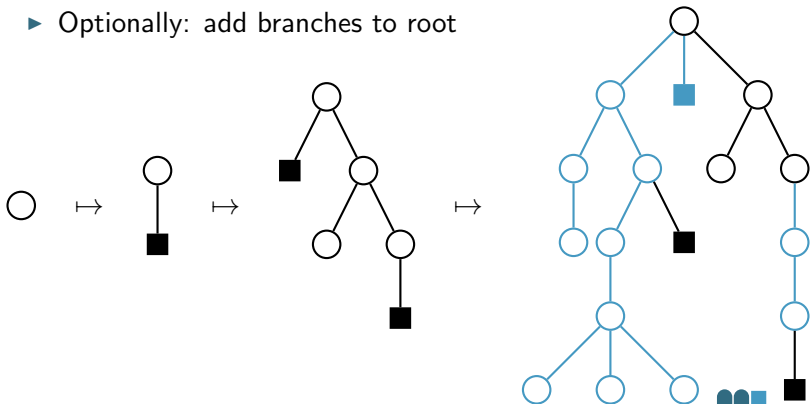
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r -fold Iterated Growth

Proposition

- ▶ $\mathcal{F} \dots$ family of Catalan–Stanley trees

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counts trees grown from \mathcal{F} after r generations.

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$$F_r^{\leq}(z, t) = \Phi^r(z) = \frac{z}{1 - t \frac{1 - T^{2r}}{1 - T^2}}$$

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$$\begin{aligned} F_r^{\geq}(z) &= S(z, z) - F_{r-1}^{\leq}(z, z) = z(1 + T) \frac{T^{2r-1}}{1 + T^{2r-1}} \\ &= \sum_{n \geq 0} f_{n,r} z^n \end{aligned}$$

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► Recall: $T = \frac{1 - \sqrt{1 - 4z}}{2}$

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Generating Function for Ancestors

Corollary

$$G_r(z, v) = \Phi^r(S(zv, tv))|_{t=z} = \frac{1}{1 - z \frac{1-T^{2r}}{1-T^2}} S\left(zv, \frac{zT^{2r}}{1 - z \frac{1-T^{2r}}{1-T^2}} v\right)$$

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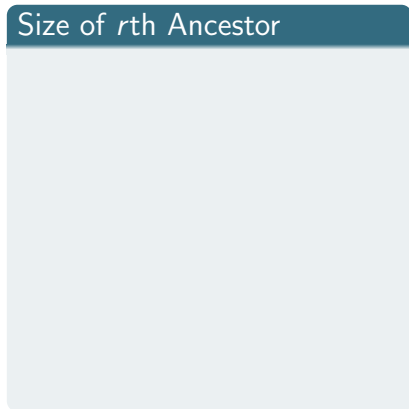
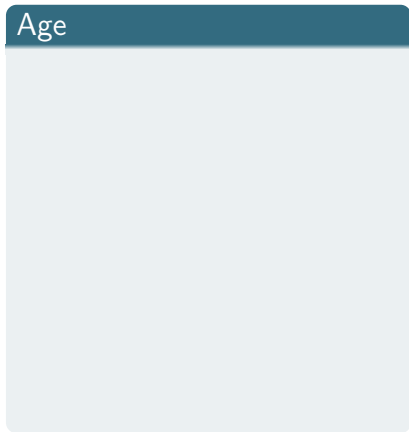
$$\mathbb{V}X_{n,r} = \frac{(2^r + 1)(2^r - 1)}{16^r}n^2 - \frac{\sqrt{\pi}(4^r(3r + 1) - 1)}{3 \cdot 16^r}n^{3/2} + O(4^{-r}r^2n).$$

In particular, we have

$$\mathbb{E}X_{n,r} = 1 + \frac{1}{C_{n-2}} \binom{2n - 2r - 4}{n - 2}.$$

Summary: Age and Ancestors of Catalan–Stanley trees

- ▶ “nice”, not too artificial growth process with different parameter behavior ✓

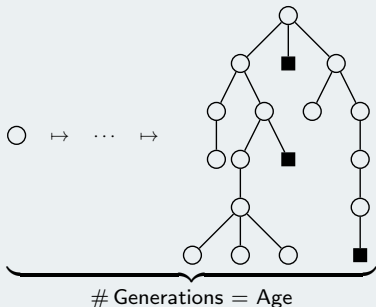


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Age

- ▶ $\mathbb{E} = O(1)$



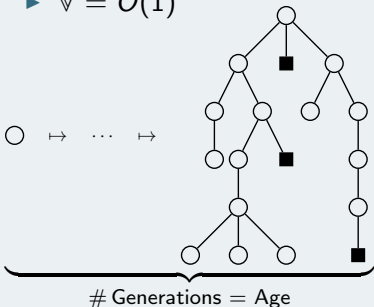
Size of r th Ancestor

Summary: Age and Ancestors of Catalan–Stanley trees

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Age

- ▶ $\mathbb{E} = O(1)$
- ▶ $\mathbb{V} = O(1)$



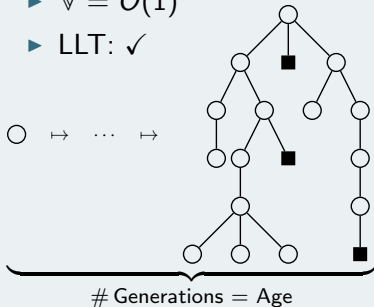
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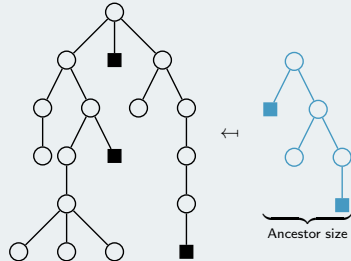
- ▶ “nice”, not too artificial growth process with different parameter behavior ✓

Age

- ▶ $\mathbb{E} = O(1)$
- ▶ $\mathbb{V} = O(1)$
- ▶ LLT: ✓



Size of r th Ancestor

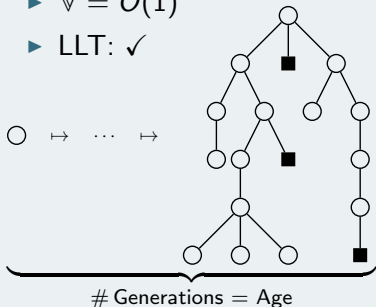


Summary: Age and Ancestors of Catalan–Stanley trees

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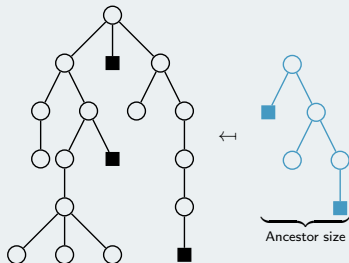
Age

- ▶ $\mathbb{E} = O(1)$
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Size of r th Ancestor

- ▶ $\mathbb{E} \sim \frac{1}{4^r} n$

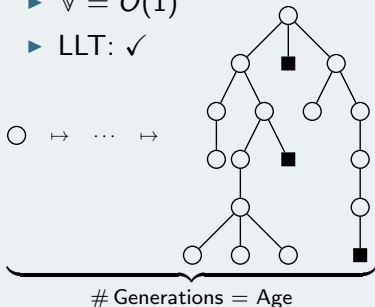


Summary: Age and Ancestors of Catalan–Stanley trees

- ▶ “nice”, not too artificial growth process with different parameter behavior ✓

Age

- ▶ $\mathbb{E} = O(1)$
- ▶ $\mathbb{V} = O(1)$
- ▶ LLT: ✓



Size of r th Ancestor

- ▶ $\mathbb{E} \sim \frac{1}{4^r} n$
- ▶ $\mathbb{V} \sim \frac{(2^r+1)(2^r-1)}{16^r} n^2$

