Growing and Destroying Classes of Plane Trees

Benjamin Hackl

joint work with Helmut Prodinger



June 23, 2017



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●0000	Catalan–Stanley Trees	OOO	OO
(Rooted)	Plane trees		
Charact	erization:		
► unla	abeled		
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			$\mathbf{N}$
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Background 00000	Catalan–Stanley Trees 000000	Analysis: Age 000	Analysis: <i>r</i> th Ancestor
(Rooted)	Plane trees		
Charact	terization:		
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Characte	erization:		N ALE
► unla	beled		XA
spec	ial node: root	And the second s	
► orde	r of children matters		$\mathbf{V}$



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(Roote	ed) Plane	trees			
Cha	aracterizati	on:		Merec .	CAR ALE
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• 
$$C_n = \frac{1}{n+1} {2n \choose n}$$
 plane trees of size  $n+1$ 





•  $C_n = \frac{1}{n+1} {2n \choose n}$  plane trees of size n+1

• combinatorial class  $\mathcal{T}$ , g.f.  $T(z) = \frac{1-\sqrt{1-4z}}{2}$ 



Background	Catalan–Stanley Trees	Analysis: Age	Analysis: rth Ancestor
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### Growing plane trees

▶ How can we grow trees?



Background	Catalan–Stanley Trees	Analysis: Age	Analysis: rth Ancestor
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- How can we grow trees?
- Easier question: what could be the inverse operation?



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Growing trees:

grow new leaves out of current leaves and inner nodes

Background	Catalan–Stanley Trees	Analysis: Age	Analysis: <i>r</i> th Ancestor
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Background	Catalan–Stanley Trees	Analysis: Age	Analysis: rth Ancestor
0000			





Background	Catalan–Stanley Trees	Analysis: Age	Analysis: rth Ancestor
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#### > Aim: analysis of tree structure under iterated reduction



Algorithmic description



Background	Catalan–Stanley Trees	Analysis: Age	Analysis: rth Ancestor
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- Algorithmic description
- Investigation of "tree expansion" ~> g.f.



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- Algorithmic description
- ▶ Investigation of "tree expansion" ~> g.f.
- Coefficient extraction; Parameter distribution



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- Algorithmic description
- ▶ Investigation of "tree expansion" ~> g.f.
- Coefficient extraction; Parameter distribution
- Parameters: Age and Ancestor size



Background	Catalan–Stanley Trees	Analysis: Age	Analysis: <i>r</i> th Ancestor
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#### Definition

► *τ*...some plane tree



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#### Definition

- ► *τ*...some plane tree
- Age of  $\tau$ : # of generations required to grow  $\tau$  from O



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#### Definition

- ▶ τ... some plane tree
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Background 0000●	Catalan–Stanley Trees 000000	Analysis: Age 000	Analysis: <i>r</i> th Ancestor 00
Summary: S	ize of <i>r</i> th Ance	stor	
Leaves $\mathbb{E} \sim \frac{n}{r+1}$ $\mathbb{V} \sim \frac{r(r+2)}{6(r+1)}$ limit law:	$\sum_{j=2}^{n} n$	<b>O</b>	







Background	Catalan–Stanley Trees	Analysis: Age	Analysis: <i>r</i> th Ancestor
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Want: not too artificial reduction with different parameter behavior



Background	Catalan–Stanley Trees	Analysis: Age	Analysis: rth Ancestor
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Want: not too artificial reduction with different parameter behavior

#### Stanley, Catalan bijection #26

Dyck paths from (0,0) to (2n+2,0) such that every maximal sequence of consecutive steps (1,-1) ending on the x-axis has odd length.



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Catalan–Stanley Trees			
Catalan-	-Stanley Tree:	(	


Background 00000	Catalan–Stanley Trees 0●0000	Analysis: Age 000	Analysis: <i>r</i> th Ancestor 00
Catalan–Stanl	ey Trees		
Catalan–Sta ► plane	<b>nley Tree:</b> tree		



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Catalan-S	Stanley Trees		
Catalar	-Stanley Tree:		र्षे प्र

▶ ... plane tree



... rightmost leaves in all branches of root have odd distance



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Catalan-S	Stanley Trees		
Catalar ►	-Stanley Tree: plane tree		

#### Proposition

S... class of Catalan–Stanley trees, g.f. S(z, t)

Catalan-Stanley Trees



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Catalan–	Stanley Trees		
Catala	n–Stanley Tree:		

#### Proposition

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Catalan-Stanley Tree

►  $z \triangleq O$ ,  $t \triangleq \blacksquare$ 



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Catalan-	Stanley Trees		
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#### Proposition

- ▶ S... class of Catalan–Stanley trees, g.f. S(z, t)
- ►  $z \triangleq O$ ,  $t \triangleq \blacksquare$
- $T = T(z) \dots g.f.$  of plane trees

Catalan-Stanley Trees



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Catalan-	Stanley Trees	
Catala ►	n–Stanley Tree:	

#### Proposition

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$$T = T(z)...g.f.$$
 of plane trees

Catalan-Stanley Trees

$$S(z,t)=z+\frac{zt}{1-t-T^2},$$



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Catalan-	Stanley Trees	
Catala	n–Stanley Tree:	

Analysis Age

... rightmost leaves in all branches of root have odd distance

#### Proposition

S... class of Catalan–Stanley trees, g.f. S(z, t)

► 
$$z \triangleq O$$
,  $t \triangleq \blacksquare$ 

Catalan-Stanley Trees

$$S(z,t)=z+\frac{zt}{1-t-T^2},$$

and for  $n \ge 2$  there are  $C_{n-2}$  Catalan–Stanley trees with n nodes.

Analysis: Age

Analysis: *r*th Ancestor

### Catalan–Stanley Trees (Proof)

•  $\mathcal{T}$ ... class of plane trees



Background	Catalan–Stanley Trees	Analysis: Age	Analysis: <i>r</i> th Ancestor
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- $\mathcal{T}$ ... class of plane trees
- Symbolic description:  $S = O + SEQ\begin{pmatrix} T \\ T \end{pmatrix} SEQ\begin{pmatrix} T \\ T \end{pmatrix}$



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$$\Rightarrow S(z,t) = z + \frac{z \frac{t}{1-T^2}}{1-\frac{t}{1-T^2}} = z + \frac{zt}{1-t-T^2}$$



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• Count w.r.t. size: set t = z, use  $T = \frac{z}{1-T}$ 

$$\Rightarrow \quad S(z,z) = z + \frac{z^2}{1 - (z + T^2)} = z + \frac{z^2}{1 - T} = z + zT$$

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► 
$$T(z) = \sum_{n\geq 1} C_{n-1} z^n$$



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 $T(z) = \sum_{n \ge 1} C_{n-1} z^n \Rightarrow S(z,z) = z + \sum_{n \ge 2} C_{n-2} z^n$ 

► Idea: grow tree at ■ and ensure that odd-distance property is satisfied



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- ▶ Strategy: insert a sequence of two plane trees before every ■



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- Optionally: add branches to root



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Background	Catalan–Stanley Trees	Analysis: Age	Analysis: rth Ancestor
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**Q**: Describe tree growth via linear operator Φ:



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- **Q**: Describe tree growth via linear operator Φ:
  - $\mathcal{F}$ ...some subclass of Catalan–Stanley trees, f(z, t) g.f.



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$$\Phi(z^n t^k) = z^n$$



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$$\Phi(z^n t^k) = z^n (tT^2)^k$$



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$$\Phi(z^{n}t^{k}) = z^{n}(tT^{2})^{k} \left(\frac{1}{1-t}\right)^{k+1}$$

• A: This proves  

$$\Phi(f(z,t)) = \frac{1}{1-t} f(z, \frac{t}{1-t} T)$$



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• Note: 
$$\Phi(z) = \frac{z}{1-t} = z + zt + zt^2 + \cdots$$



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• O may not grow!



Background	Catalan–Stanley Trees	Analysis: Age	Analysis: <i>r</i> th Ancestor
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#### Proposition

▶ *F*... family of Catalan–Stanley trees



Background	Catalan–Stanley Trees	Analysis: Age	Analysis: <i>r</i> th Ancestor
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#### Proposition

- ▶ *F*... family of Catalan–Stanley trees
- Generating function f(z, t)



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#### Proposition

- ▶ *F*... family of Catalan–Stanley trees
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- ▶  $r \in \mathbb{Z}_{\geq 0}$



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#### Proposition

- ▶ *F*... family of Catalan–Stanley trees
- Generating function f(z, t)
- ▶  $r \in \mathbb{Z}_{\geq 0}$

$$\Phi^{r}(f(z,t)) = \frac{1}{1 - t \frac{1 - T^{2r}}{1 - T^{2}}} f\left(z, \frac{t T^{2r}}{1 - t \frac{1 - T^{2r}}{1 - T^{2}}}\right)$$

counts trees grown from  $\mathcal F$  after r generations.



Background	Catalan–Stanley Trees	Analysis: Age	Analysis: <i>r</i> th Ancestor
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For some Catalan–Stanley tree  $\tau$ :

• Age of  $\tau$ : min. # of generations to grow  $\tau$  from O


Background	Catalan–Stanley Trees	Analysis: Age	Analysis: <i>r</i> th Ancestor
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# r-fold Iterated Growth

#### Proposition

- ▶ *F*... family of Catalan–Stanley trees
- Generating function f(z, t)
- ►  $r \in \mathbb{Z}_{\geq 0}$

$$\Phi^{r}(f(z,t)) = \frac{1}{1 - t \frac{1 - T^{2r}}{1 - T^{2}}} f\left(z, \frac{t T^{2r}}{1 - t \frac{1 - T^{2r}}{1 - T^{2}}}\right)$$

counts trees grown from  $\mathcal F$  after r generations.

For some Catalan–Stanley tree  $\tau$ :

- Age of  $\tau$ : min. # of generations to grow  $\tau$  from O
- ▶ Size of *r*th ancestor: size of *r*-fold reduced *τ*

Background 00000	Catalan–Stanley Trees 000000	Analysis: Age ●00	Analysis: <i>r</i> th Ancestor 00
Trees of (	Given Age		
Corollar	ту		
	$F_r^{\leq}(z,t)=\Phi^r(z,t)$	$z) = \frac{z}{1 - t\frac{1 - T^{2r}}{1 - T^2}}$	
counts (	Catalan–Stanley trees of a	age $\leq$ r w.r.t. z $\triangleq$ (	D, t ≜ <b>■</b> .



Backgrou 00000	nd Catalan–Stanley Trees 000000	Analysis: Age ●00	Analysis: <i>r</i> th Ancestor 00	
Tree	s of Given Age			
C	orollary			
	$F_r^{\leq}(z,t) = \Phi^r(z)$	$z) = rac{z}{1 - t rac{1 - T^{2r}}{1 - T^2}}$		
C	ounts Catalan–Stanley trees of a	$gge \leq r w.r.t. z \triangleq 0$	$D, t \triangleq \blacksquare.$	
P	<b>roof:</b> O may not grow $\Rightarrow \Phi^r(z)$	) counts trees of ag	$e \leq r$ .	



Backg 0000	round O	Catalan–Stanley Trees 000000	Analysis: Age ●00	Analysis: <i>r</i> th Ancestor 00
Tre	ees of Giver	n Age		
	Corollary			
		$F_r^{\leq}(z,t) = \Phi^r($	$(z) = \frac{z}{1 - t \frac{1 - T^{2r}}{1 - T^2}}$	
	counts Catala	an–Stanley trees of	$age \leq r w.r.t. z  riangleq C$	), $t \triangleq ∎$ .
	<b>Proof:</b> $\bigcirc$ matrix $D_n \dots$ ag	ay not grow $\Rightarrow \Phi^r(z)$ e of random Catala	z) counts trees of age n–Stanley tree of size	$e \leq r$ . $\Box$



Background 00000	Catalan–Stanley Trees 000000	Analysis: Age ●00	Analysis: <i>r</i> th Ancestor
Trees of C	Given Age		
Corollar	У		
	$F_r^{\leq}(z,t) = \Phi^r(z)$	$z) = \frac{z}{1 - t\frac{1 - T^{2r}}{1 - T^2}}$	
counts (	Catalan–Stanley trees of a	age $\leq$ r w.r.t. z $\triangleq$ (	$D, t \triangleq \blacksquare.$
Proof: ► D <sub>n</sub> . ► Fac	$egin{array}{lll} & {egin{array}{lll} {} {\operatorname{may not grow}} \Rightarrow \Phi^r(z) \ ..  {\operatorname{age of random Catalan}} \ {\operatorname{ts: }} \mathbb{E} D_n = \sum_{r \geq 1} \mathbb{P} (D_n \geq z) \end{array}$	c) counts trees of agen-Stanley tree of size $r$ ), $\mathbb{V}D_n = \mathbb{E}(D_n^2)$	$ge \leq r.$ $\square$ $(\mathbb{E}D_n)^2,$





Backg	round O	Catalan–Stanley Trees 000000	Analysis: Age ●○○	Analysis: <i>r</i> th Ancest 00	or
Tre	es of Giver	n Age			
	Corollary				
		$F_r^{\leq}(z,t) = \Phi^r$	$(z) = \frac{z}{1 - t\frac{1 - T^{2r}}{1 - T^2}}$		
	counts Catala	an–Stanley trees of	$age \leq r w.r.t. z \triangleq 0$	D, t ≜ <b>■</b> .	
	<b>Proof:</b> $\bigcirc$ matrix $D_n \dots$ age $\blacktriangleright$ Facts: $\mathbb{E}$ $\mathbb{E}(D_n^2) =$	ay not grow $\Rightarrow \Phi^r($ e of random Catala $D_n = \sum_{r \ge 1} \mathbb{P}(D_n)$ $= \sum_{r > 1} (2r - 1) \mathbb{P}(D_n)$	$(z)$ counts trees of agen-Stanley tree of size $r$ ), $\mathbb{V}D_n = \mathbb{E}(D_n^2)$ $(D_n \ge r)$	$ge \leq r.$ $\square$ $(\mathbb{E}D_n)^2,$	

• Want:  $F_r^{\geq}(z)$ ...g.f. for trees of age  $\geq r$ 



Backgro 00000	und Catalan–Stanley Trees 000000	Analysis: Age ●00	Analysis: <i>r</i> th Ancestor
Tree	es of Given Age		
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0	counts Catalan–Stanley trees of a	$ge \leq r w.r.t. z \triangleq 0$	$\bigcirc$ , $t \triangleq \blacksquare$ .
I	<b>Proof:</b> O may not grow $\Rightarrow \Phi^r(z)$ $\triangleright D_n \dots$ age of random Catalan $\triangleright$ Facts: $\mathbb{E}D_n = \sum_{r \ge 1} \mathbb{P}(D_n \ge \mathbb{E}(D_n^2)) = \sum_{r \ge 1} (2r-1)\mathbb{P}(D_n)$	) counts trees of a -Stanley tree of si $r$ ), $\mathbb{V}D_n = \mathbb{E}(D_n^2)$ $\geq r$ )	$egin{array}{llllllllllllllllllllllllllllllllllll$
	• Want: $F_r^{\geq}(z)$ g.f. for tree	s of age $\geq r$	
	$F_r^{\geq}(z) = S(z,z) - F_{r-1}^{\leq}(z)$	$(z) = z(1+T)\frac{1}{1-t}$	$\frac{T^{2r-1}}{+T^{2r-1}}$
	$=\sum_{n\geq 0}f_{n,r}z^n$		

Background	Catalan–Stanley Trees	Analysis: Age	Analysis: rth Ancestor
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• Recall: 
$$T = \frac{1 - \sqrt{1 - 4z}}{2}$$



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- Inversion,
- Multiplication by expansion of z(1 + T),



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- Inversion,
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Background	Catalan–Stanley Trees	Analysis: Age	Analysis: <i>r</i> th Ancestor
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### Theorem (H–Prodinger, 2017)

The age of a (uniformly random) Catalan–Stanley tree of size n follows a discrete limiting distribution with



Background	Catalan–Stanley Trees	Analysis: Age	Analysis: <i>r</i> th Ancestor
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Background	Catalan–Stanley Trees	Analysis: Age	Analysis: rth Ancestor
			•••

### Corollary

$$G_r(z,v) = \Phi^r(S(zv,tv))|_{t=z} = \frac{1}{1-z\frac{1-T^{2r}}{1-T^2}}S\left(zv,\frac{zT^{2r}}{1-z\frac{1-T^{2r}}{1-T^2}}v\right)$$



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 X<sub>n,r</sub>...size of rth ancestor of (unif. random) Catalan–Stanley tree of size n



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• Singular expansion  $z \rightarrow 1/4$ , Singularity Analysis



# Result – Size of rth Ancestor

## Theorem (H-Prodinger, 2017)

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In particular, we have

$$\mathbb{E}X_{n,r} = 1 + \frac{1}{C_{n-2}} \binom{2n-2r-4}{n-2}$$



# Summary: Age and Ancestors of Catalan-Stanley trees

Age	Size of <i>r</i> th Ancestor



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