

Iterative Cutting and Pruning of Planar Trees

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joint work in progress with
Sara Kropf and Helmut Prodinger



Analco17

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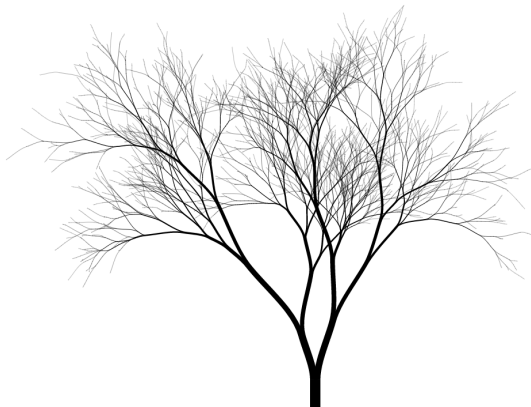


Procedural Tree Generation

- ▶ Computer Graphics: “How to generate trees that look like trees *efficiently*?”

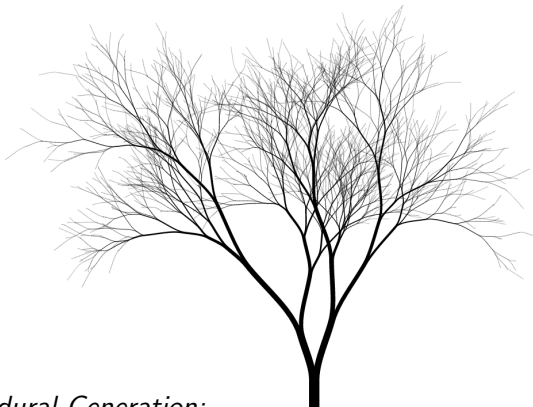
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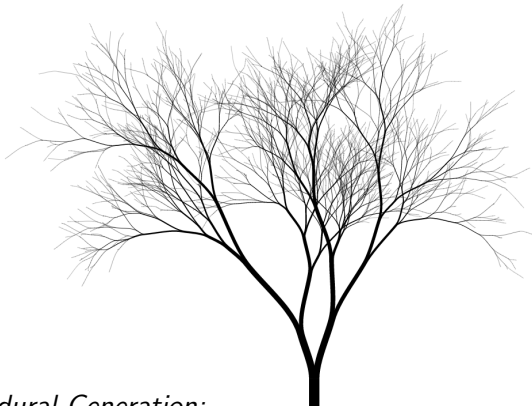
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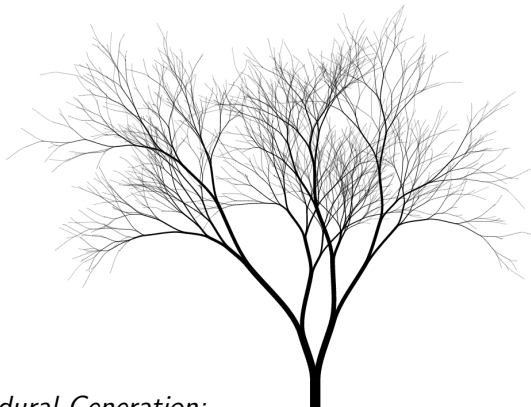
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Procedural Tree Generation

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- ▶ *Procedural Generation*:
 - ▶ grow the tree iteratively,
 - ▶ apply fancy graphics.

Growing rooted plane trees

- ▶ How can we grow trees?

Growing ~~Trimming~~ rooted plane trees

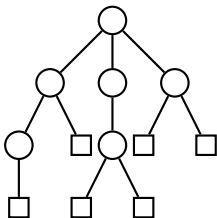
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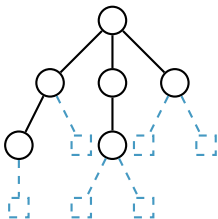
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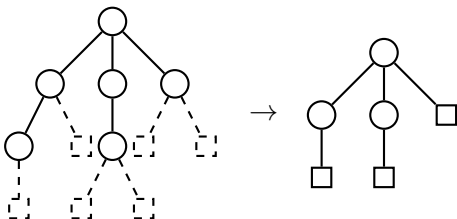
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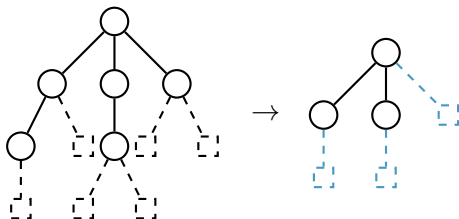
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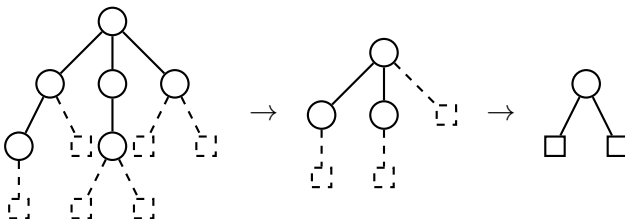
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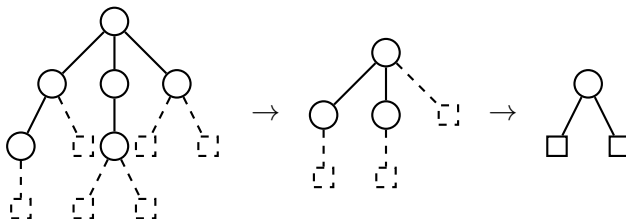
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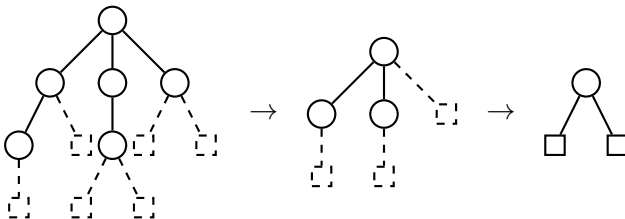
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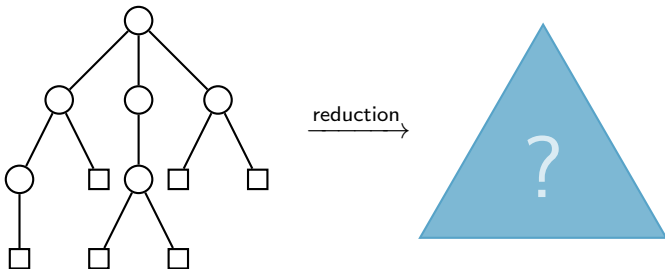
- ▶ Growing trees:
 - ▶ **grow new leaves** out of current leaves and inner nodes

“What?” and “How?”

- ▶ **Aim:** analysis of tree structure under iterated reduction

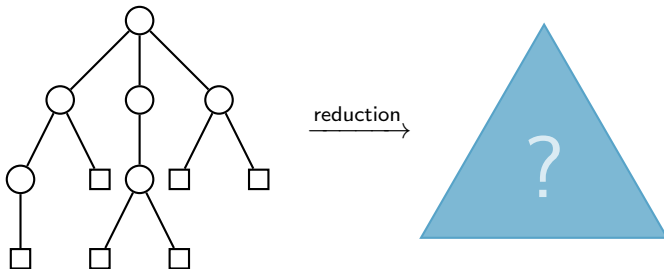
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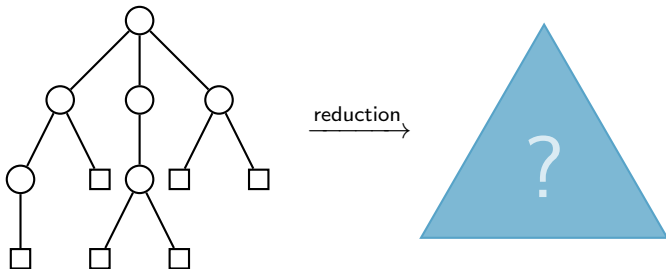
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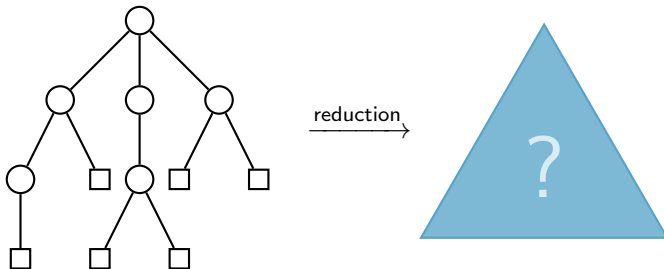
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- ▶ Algorithmic description
- ▶ Investigation of “tree expansion” \rightsquigarrow GF
- ▶ Coefficient extraction; Parameter distribution

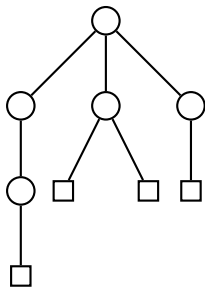
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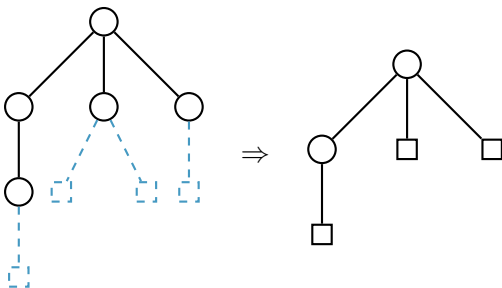
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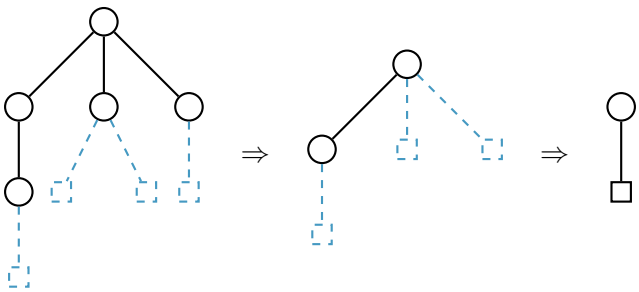
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BGF for rooted plane trees

Proposition

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- ▶ \mathcal{T} ... *rooted plane trees*
- ▶ $T(z, t)$... *BGF for \mathcal{T} ($z \rightsquigarrow$ inner nodes, $t \rightsquigarrow$ leaves)*

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- ▶ $T(z, t)$... BGF for \mathcal{T} ($z \rightsquigarrow$ inner nodes, $t \rightsquigarrow$ leaves)

$$\Rightarrow T(z, t) = \frac{1 - (z - t) - \sqrt{1 - 2(z + t) + (z - t)^2}}{2}$$

Proof. Symbolic equation

$$\mathcal{T} = \square + \begin{array}{c} \circ \\ \diagup \quad \diagdown \\ \mathcal{T} \quad \mathcal{T} \quad \dots \quad \mathcal{T} \end{array}$$

translates into

$$T(z, t) = t + z \cdot \frac{T(z, t)}{1 - T(z, t)}$$

which can be solved explicitly.

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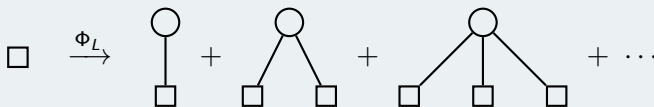
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Leaf expansion Φ_L

- ▶ inverse operation to leaf reduction
 - ▶ attach leaves to all current leaves (**necessary**)
 - ▶ attach leaves to inner nodes (**optional**)



$$\Rightarrow \Phi_L(t) = zt + zt^2 + zt^3 + \dots$$

Leaf expansion operator Φ_L

Proposition

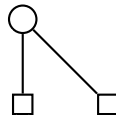
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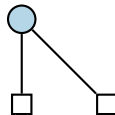
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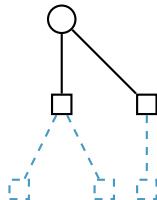
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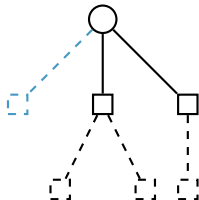
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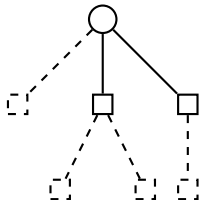


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$$\Phi_L(z^n t^k) = z^n \cdot \left(\frac{zt}{1 - t}\right)^k \cdot \frac{1}{(1 - t)^{2n+k-1}}$$

- ▶ Linear extension of Φ_L proves the proposition.

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$$G_r(z, v) = \frac{1 - u^{r+2}}{(1 - u^{r+1})(1 + u)} T\left(\frac{u(1 - u^{r+1})^2}{(1 - u^{r+2})^2} z, \frac{u^{r+1}(1 - u)^2}{(1 - u^{r+2})^2} v\right)$$

Cutting leaves

Theorem (H.–Kropf–Prodinger, 2016)

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and $X_{n,r}$ is asymptotically normally distributed.

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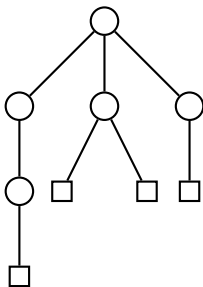
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- ▶ Asymptotic normality: $X_{n,r}$ is a **tree parameter with small toll function**, limit law by Wagner (2015)

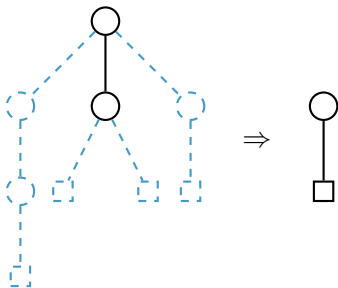
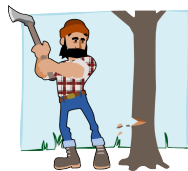
How do we cut our trees? (2)

- ▶ Remove all paths that end in a leaf!



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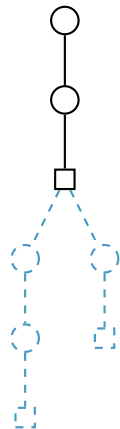
Path expansions

- ▶ Append one path to leaf \rightsquigarrow longer path ⚡



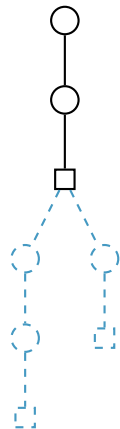
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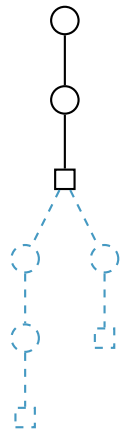
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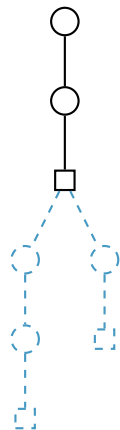
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Proposition

The linear operator given by

$$\Phi_P(f(z, t)) = (1-p)f\left(\frac{z}{(1-p)^2}, \frac{zp^2}{(1-p)^2}\right)$$

is the path expansion operator.



Generating function for path reductions

Proposition

BGF for size comparison ($z \rightsquigarrow$ original size, $v \rightsquigarrow$ r -fold path reduced size) is

$$\frac{1 - u^{2^{r+1}}}{(1 - u^{2^{r+1}-1})(1 + u)} T\left(\frac{u(1 - u^{2^{r+1}-1})^2}{(1 - u^{2^{r+1}})^2}v, \frac{u^{2^{r+1}-1}(1 - u)^2}{(1 - u^{2^{r+1}})^2}v\right),$$

where $z = u/(1 + u)^2$.

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Observation. This is the BGF for leaf reductions

$$\frac{1 - u^{r+2}}{(1 - u^{r+1})(1 + u)} T\left(\frac{u(1 - u^{r+1})^2}{(1 - u^{r+2})^2} v, \frac{u^{r+1}(1 - u)^2}{(1 - u^{r+2})^2} v\right)$$

with $r \mapsto 2^{r+1} - 2$.

Cutting paths – Pruning

Theorem (H.–Kropf–Prodinger, 2016)

- ▶ *r... number of reductions, fixed*

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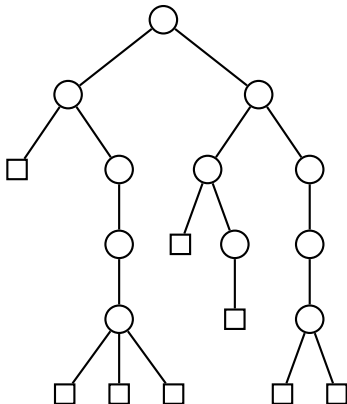
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- ▶ Factorial moments are known as well
- ▶ Proof: subsequence of RV's from cutting leaves

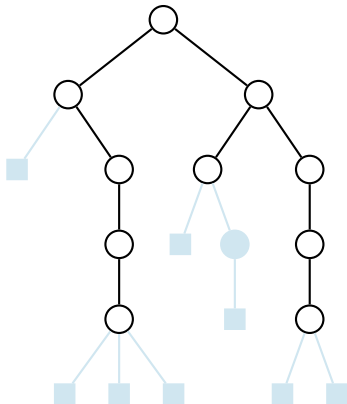
Counting total number of paths

- ▶ Trees can be partitioned into paths (↔ branches)!



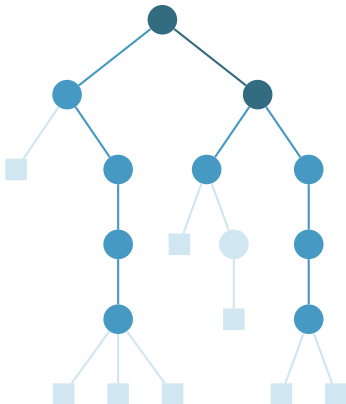
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- ▶ Average number of paths?

Cutting paths – total number of paths

Theorem (H.–Kropf–Prodinger, 2017)

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Cutting paths – total number of paths

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The expected number of paths is

$$\mathbb{E}P_n = (\alpha - 1)n + \frac{1}{6} \log_4 n + \delta(\log_4 n) + c + O(n^{-1/2}).$$

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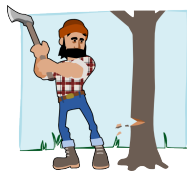
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- ▶ **Proof:** Sum of leaves in all reductions, Mellin-transform, singularity analysis.

How do we cut our trees? (3)

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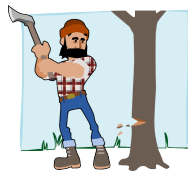
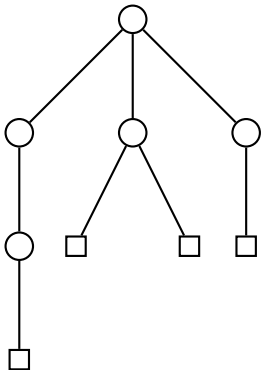


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Old leaves

- ▶ Remove all leaves that are leftmost children

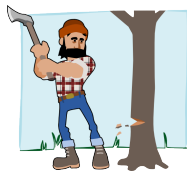
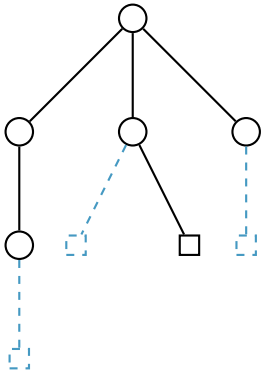


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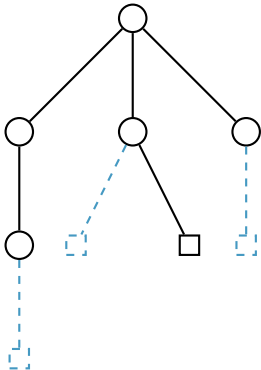
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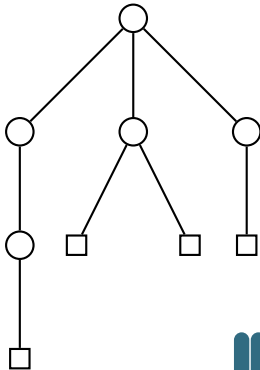
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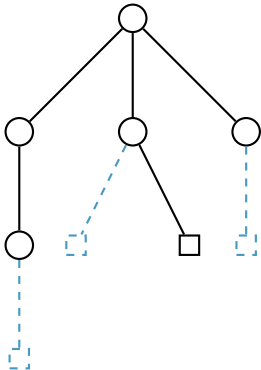
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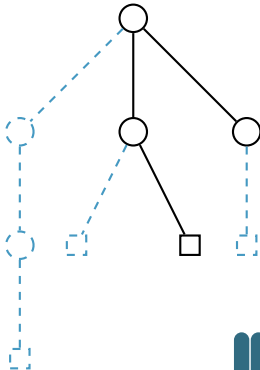
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Note. Via Flajolet, Odlyzko (1982):

$$B_r(1/4) = 2 - \frac{4}{r} - \frac{4 \log r}{r^2} + O(r^{-3}), \quad r \rightarrow \infty$$

Cutting old paths

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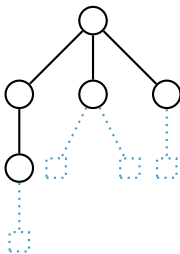
Summary

Leaves

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limit law: ✓



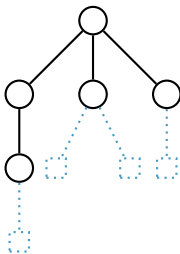
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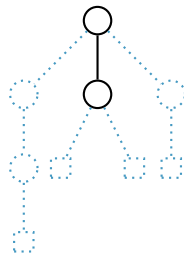


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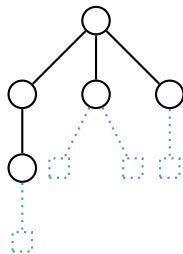
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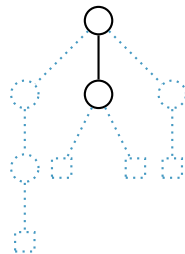


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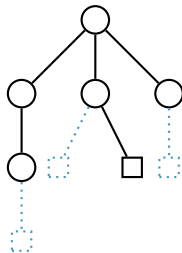


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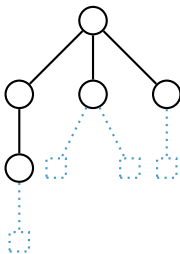
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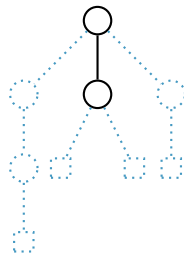


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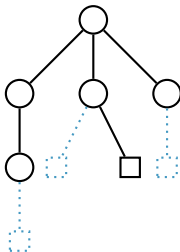


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