

Cutting Down and Growing Trees



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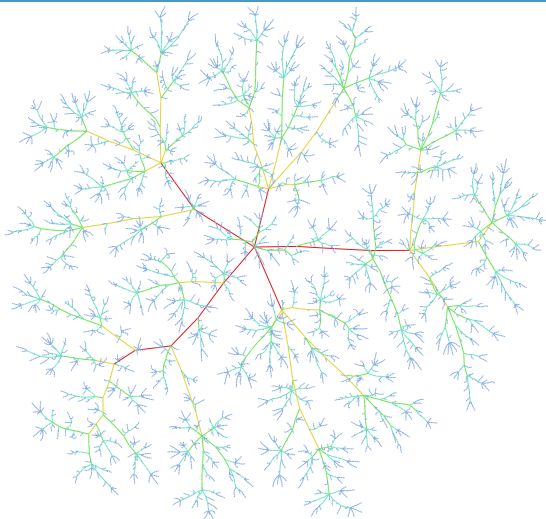
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Is this about Gardening?

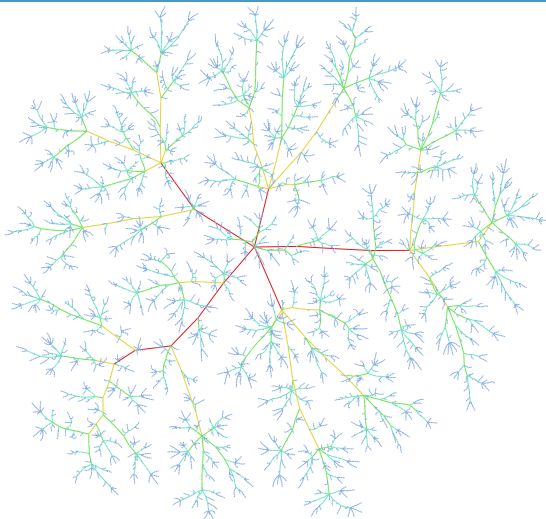
Is this about Gardening?

► No. But **Trees!**



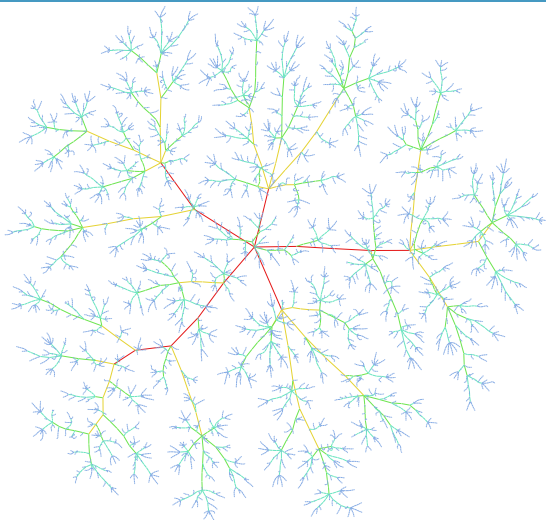
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- ▶ What do large trees look like?



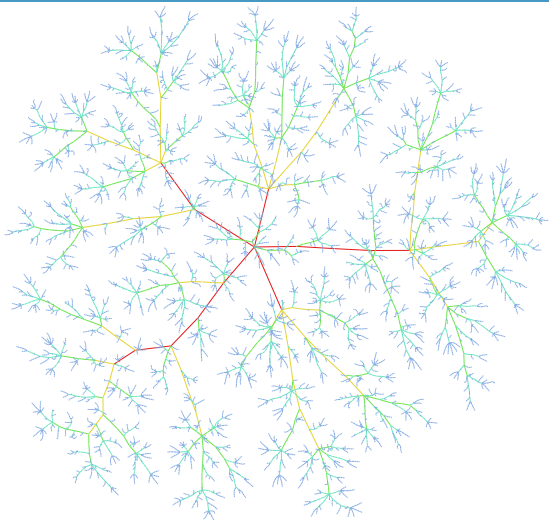
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“Asymptotic Analysis of Shape Parameters of Trees and Lattice Paths”

Analytic Combinatorics. . .

. . . deals with enumeration

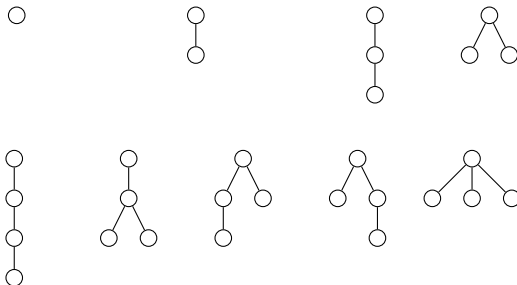
Analytic Combinatorics...

...deals with enumeration of discrete objects



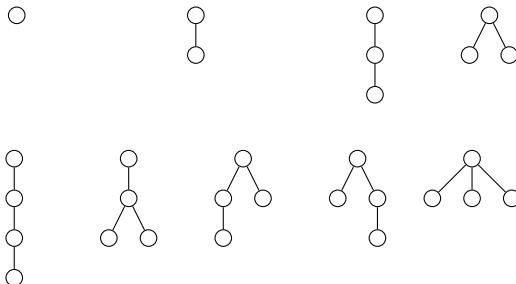
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Analytic Combinatorics...

...deals with enumeration of discrete objects by using analytic methods.

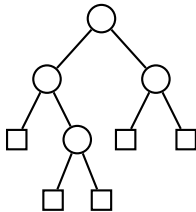


$$\frac{1 - \sqrt{1 - 4z}}{2} = 1z + 1z^2 + 2z^3 + 5z^4 + 14z^5 + 42z^6 + \dots$$

Trimming Binary Trees

Cutting strategy:

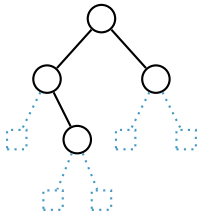
- ▶ Remove Leaves
- ▶ Merge single children with their corresponding parent



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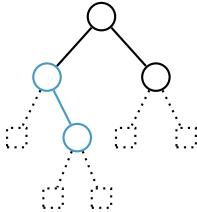
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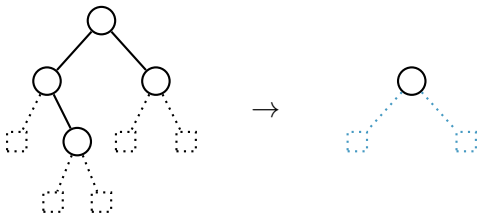
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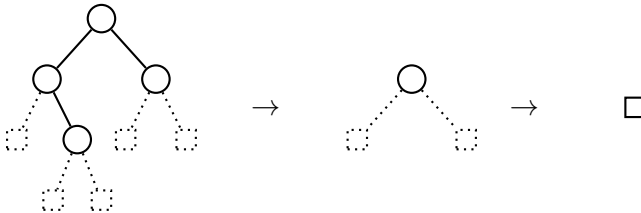
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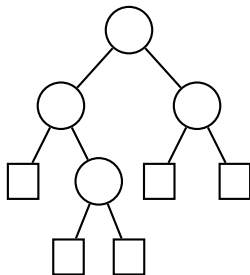
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Which nodes „survive“?

We label the nodes according to the following rules:

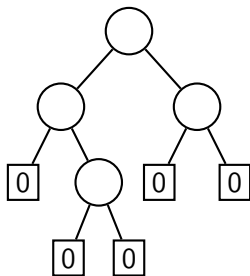
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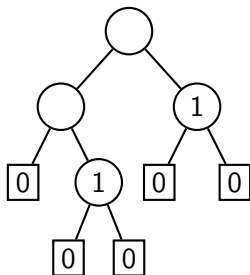
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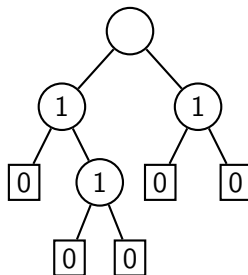
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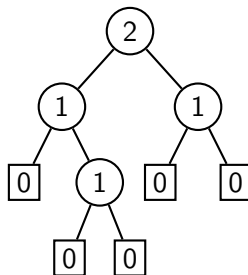
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Label in root node: *Register function (Horton–Strahler-Index)*

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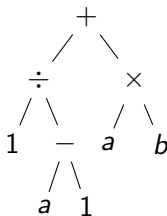
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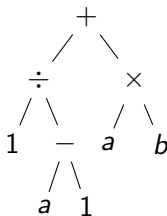
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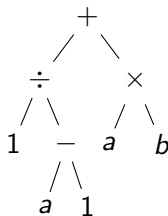
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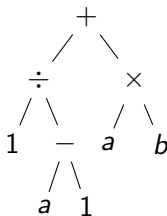


- ▶ Asymptotic analysis:

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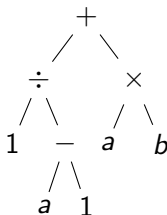


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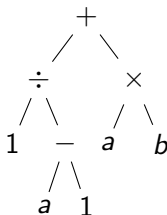


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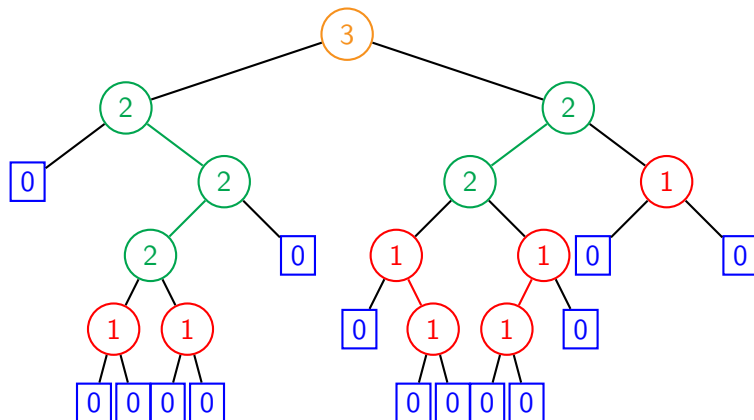
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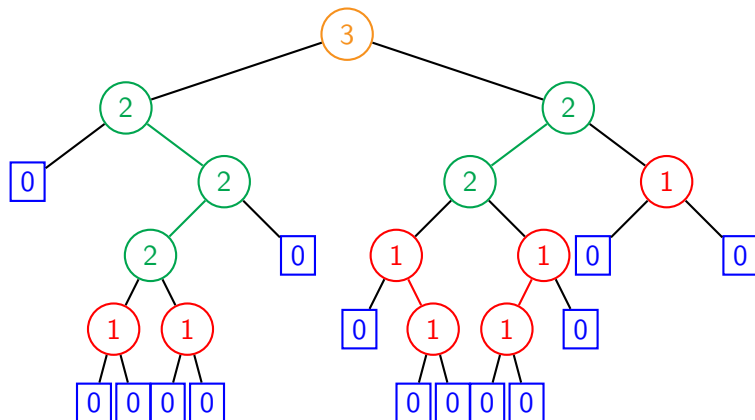


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 - ▶ *r*-Branches, Numerics: Yamamoto, Yamazaki (2009)

Local Structures – “ r -branches”: chains with same label

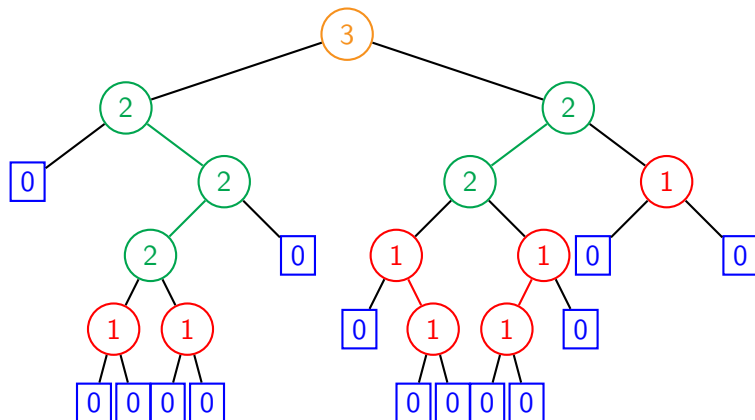


Local Structures – “ r -branches”: chains with same label



- Number / Distribution of (r -)branches?

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► Number / Distribution of (r -)branches?

► Example:

	r	0	1	2	3
# r -branches		14	5	2	1

“ r -branches” – Results

Theorem (H.–Heuberger–Prodinger)

In a random binary tree of size n . . .

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- ▶ # of r -branches is **asymptotically normally distributed**
- ▶ with **mean** and **variance**

$$\mathbb{E} = \frac{n}{4^r} + \frac{1}{6} \left(1 + \frac{5}{4^r} \right) + O(n^{-1}), \quad \mathbb{V} = \frac{4^r - 1}{3 \cdot 16^r} n + O(1)$$

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- ▶ $C \approx 1.36190$, $\delta \dots$ periodic fluctuation

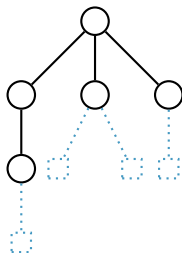
Size of r -fold reduced plane trees

Leaves

$$\mathbb{E} \sim \frac{n}{r+1}$$

$$\mathbb{V} \sim \frac{r(r+2)}{6(r+1)^2} n$$

limit law: ✓



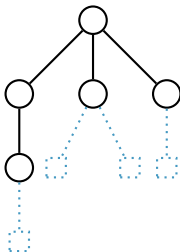
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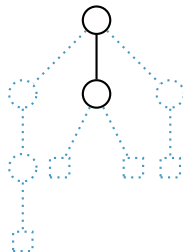


Paths

$$\mathbb{E} \sim \frac{n}{2^{r+1}-1}$$

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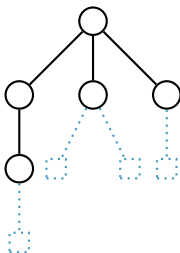
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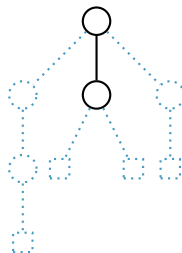


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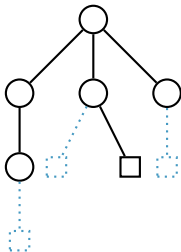


Old leaves

$$\mathbb{E} \sim (2 - B_{r-1}(1/4))n$$

$$\mathbb{V} = \Theta(n)$$

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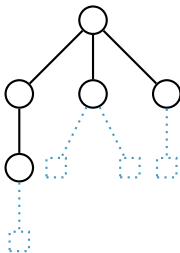
Size of r -fold reduced plane trees

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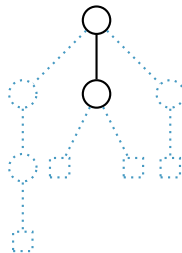


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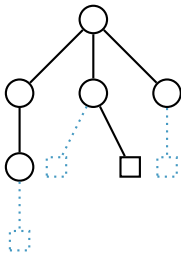


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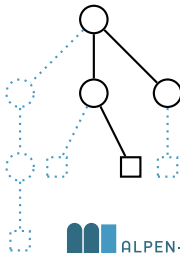


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$$\mathbb{E} \sim \frac{2n}{r+2}$$

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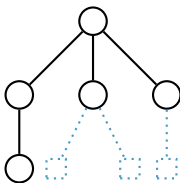
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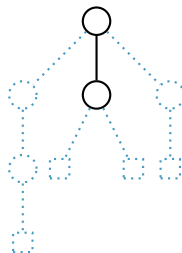


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Disclaimer

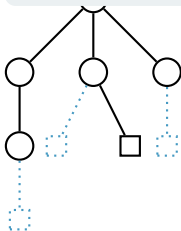
Results are **not always** that nice!

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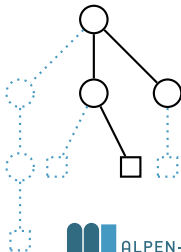


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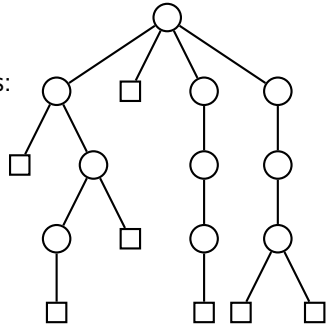
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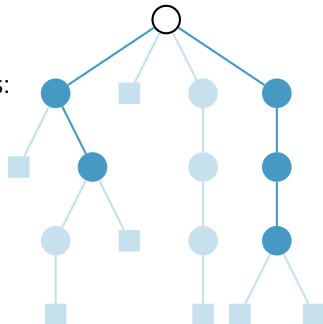
Branches in a Tree

- ▶ Trees can be partitioned into branches:



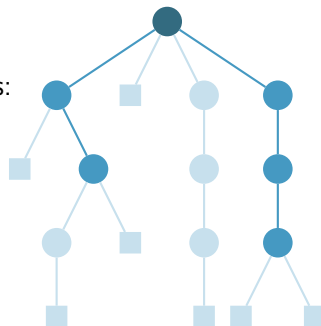
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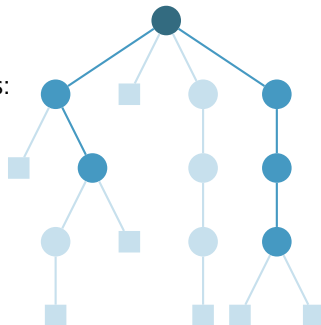
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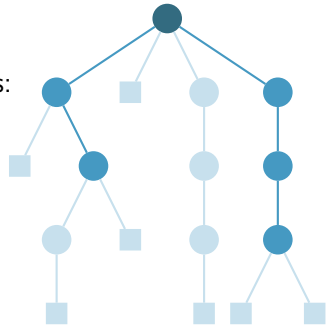


Observation

Total # of branches \triangleq # of leaves in all reduction stages

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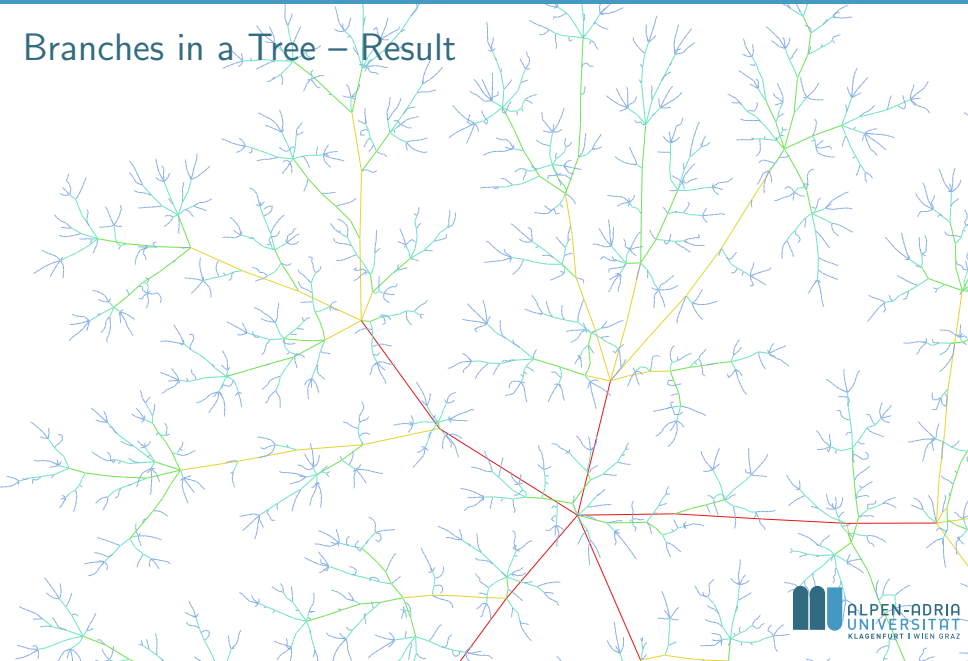
Observation

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Proof: all branches end in exactly one leaf (at some point). \square

- Q: How many branches are there?

Branches in a Tree – Result



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Theorem (H.–Heuberger–Kropf–Prodinger)

Average # of branches in a random plane tree of size n is

$$\alpha n + \frac{1}{6} \log_4 n + C + \delta(\log_4 n) + O(n^{-1/4}),$$

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- ▶ $\alpha = \sum_{k \geq 2} \frac{1}{2^k - 1} \approx 0.60669,$
- ▶ $C = -\frac{\gamma + 4\alpha \log 2 + \log 2 + 24\zeta'(-1) + 2}{12 \log 2} \approx -0.11811,$

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▶ $C = -\frac{\gamma + 4\alpha \log 2 + \log 2 + 24\zeta'(-1) + 2}{12 \log 2} \approx -0.11811,$

▶ $\delta \dots$ periodic fluctuation:

$$\delta(x) := \frac{1}{\log 2} \sum_{k \in \mathbb{Z} \setminus \{0\}} (-1 + \chi_k) \Gamma(\chi_k/2) \zeta(-1 + \chi_k) e^{2\pi i k x}, \quad \chi_k = \frac{2\pi i k}{\log 2}$$

More about my thesis? ☺

► Defense @ 30.5., 11:00 / E.1.05

Benjamin Hackl

May 30, 2018



Asymptotic Analysis of Shape Parameters of Trees and Lattice Paths

PhD Thesis / Defense



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Der Wissenschaftsfonds.

